

<i>SERFF Tracking Number:</i>	<i>ZURC-125934366</i>	<i>State:</i>	<i>Arkansas</i>
<i>First Filing Company:</i>	<i>American Zurich Insurance Company, ...</i>	<i>State Tracking Number:</i>	<i>EFT \$50</i>
<i>Company Tracking Number:</i>	<i>CW ML 28033</i>		
<i>TOI:</i>	<i>01.0 Property</i>	<i>Sub-TOI:</i>	<i>01.0001 Commercial Property (Fire and Allied Lines)</i>
<i>Product Name:</i>	<i>Property Basket Coverage Endorsement, Supplemental Schedule, Rules and Rating Plan</i>		
<i>Project Name/Number:</i>	<i>/CW ML 28033</i>		

Filing at a Glance

Companies: American Zurich Insurance Company, American Guarantee and Liability Insurance Company, Fidelity and Deposit Company of Maryland, Zurich American Insurance Company of Illinois, Zurich American Insurance Company

Product Name: Property Basket Coverage SERFF Tr Num: ZURC-125934366 State: Arkansas

Endorsement, Supplemental Schedule, Rules and Rating Plan

TOI: 01.0 Property

SERFF Status: Closed

State Tr Num: EFT \$50

Sub-TOI: 01.0001 Commercial Property (Fire and Allied Lines)

Co Tr Num: CW ML 28033

State Status: Fees verified and received

Filing Type: Form

Co Status: Not Applicable

Reviewer(s): Betty Montesi, Llyweyia Rawlins

Author: Shierra Avila

Disposition Date: 12/10/2008

Date Submitted: 12/09/2008

Disposition Status: Approved

Effective Date Requested (New): 04/01/2009

Effective Date (New): 04/01/2009

Effective Date Requested (Renewal): 04/01/2009

Effective Date (Renewal): 04/01/2009

State Filing Description:

General Information

Project Name:

Status of Filing in Domicile: Pending

Project Number: CW ML 28033

Domicile Status Comments:

Reference Organization:

Reference Number:

Reference Title:

Advisory Org. Circular:

Filing Status Changed: 12/10/2008

State Status Changed: 12/10/2008

Deemer Date:

Corresponding Filing Tracking Number:

Filing Description:

In accordance with the filing requirements of your state, we hereby submit for your review and approval the endorsements, schedules, rules and rating plan that comprise the Commercial Property Basket Program on behalf of the above referenced companies.

<i>SERFF Tracking Number:</i>	<i>ZURC-125934366</i>	<i>State:</i>	<i>Arkansas</i>
<i>First Filing Company:</i>	<i>American Zurich Insurance Company, ...</i>	<i>State Tracking Number:</i>	<i>EFT \$50</i>
<i>Company Tracking Number:</i>	<i>CW ML 28033</i>		
<i>TOI:</i>	<i>01.0 Property</i>	<i>Sub-TOI:</i>	<i>01.0001 Commercial Property (Fire and Allied Lines)</i>
<i>Product Name:</i>	<i>Property Basket Coverage Endorsement, Supplemental Schedule, Rules and Rating Plan</i>		
<i>Project Name/Number:</i>	<i>/CW ML 28033</i>		

This new program contains two schedules, the Basic Limit Option and the Plus Limit Option.

The Basic Limit Option replaces the old Commercial Property Basket Filing. The Basic Limit Option will remove the maximum premium constraint. The Basic Limit Option will have no impact on current insureds as we no longer have policies with the endorsement.

The Plus Limit Option is a new coverage option that contains similar coverages as the Basic Limit Option but it has higher limits for select coverages.

This product was created to provide two options for coverages that are commonly elected by commercial insureds with property risks. The coverage endorsement attaches to the ISO Building and Personal Property Coverage form, Causes of Loss Special Form and Business Income and Extra Expense Coverage form (if BI&EE is purchased).

Company and Contact

Filing Contact Information

Shierra Avila, Filing Analyst	shierra.1.avila@zurichna.com
1400 American Lane	(847) 706-2956 [Phone]
Schaumburg, IL 60196	(847) 605-7768[FAX]

Filing Company Information

American Zurich Insurance Company	CoCode: 40142	State of Domicile: Illinois
1400 American Lane	Group Code: 212	Company Type:
Schaumburg, IL 60196	Group Name:	State ID Number:
(847) 605-6000 ext. [Phone]	FEIN Number: 36-3141762	

American Guarantee and Liability Insurance Company	CoCode: 26247	State of Domicile: New York
1400 American Lane	Group Code: 212	Company Type:
Schaumburg, IL 60196	Group Name:	State ID Number:
(847) 605-6000 ext. [Phone]	FEIN Number: 36-6071400	

Fidelity and Deposit Company of Maryland	CoCode: 39306	State of Domicile: Maryland
--	---------------	-----------------------------

SERFF Tracking Number: ZURC-125934366 State: Arkansas
First Filing Company: American Zurich Insurance Company, ... State Tracking Number: EFT \$50
Company Tracking Number: CW ML 28033
TOI: 01.0 Property Sub-TOI: 01.0001 Commercial Property (Fire and Allied Lines)
Product Name: Property Basket Coverage Endorsement, Supplemental Schedule, Rules and Rating Plan
Project Name/Number: /CW ML 28033

1400 American Lane Group Code: 212 Company Type:
Schaumburg, IL 60196 Group Name: State ID Number:
(847) 605-6000 ext. [Phone] FEIN Number: 13-3046577

Zurich American Insurance Company of Illinois CoCode: 27855 State of Domicile: Illinois
1400 American Lane Group Code: 212 Company Type:
Schaumburg, IL 60196 Group Name: State ID Number:
(847) 605-6000 ext. [Phone] FEIN Number: 36-2781080

Zurich American Insurance Company CoCode: 16535 State of Domicile: New York
1400 American Lane Group Code: 212 Company Type:
Schaumburg, IL 60102 Group Name: State ID Number:
(847) 605-6000 ext. [Phone] FEIN Number: 36-4233459

SERFF Tracking Number: ZURC-125934366 State: Arkansas

First Filing Company: American Zurich Insurance Company, ... State Tracking Number: EFT \$50

Company Tracking Number: CW ML 28033

TOI: 01.0 Property Sub-TOI: 01.0001 Commercial Property (Fire and Allied Lines)

Product Name: Property Basket Coverage Endorsement, Supplemental Schedule, Rules and Rating Plan

Project Name/Number: /CW ML 28033

Filing Fees

Fee Required? Yes

Fee Amount: \$50.00

Retaliatory? No

Fee Explanation: AR fee of \$50 per filing

Per Company: No

COMPANY	AMOUNT	DATE PROCESSED	TRANSACTION #
American Zurich Insurance Company	\$0.00	12/09/2008	
American Guarantee and Liability Insurance Company	\$0.00	12/09/2008	
Fidelity and Deposit Company of Maryland	\$0.00	12/09/2008	
Zurich American Insurance Company of Illinois	\$0.00	12/09/2008	
Zurich American Insurance Company	\$50.00	12/09/2008	24412418

SERFF Tracking Number:	ZURC-125934366	State:	Arkansas
First Filing Company:	American Zurich Insurance Company, ...	State Tracking Number:	EFT \$50
Company Tracking Number:	CW ML 28033		
TOI:	01.0 Property	Sub-TOI:	01.0001 Commercial Property (Fire and Allied Lines)
Product Name:	Property Basket Coverage Endorsement, Supplemental Schedule, Rules and Rating Plan		
Project Name/Number:	/CW ML 28033		

Correspondence Summary

Dispositions

Status	Created By	Created On	Date Submitted
Approved	Llyweyia Rawlins	12/10/2008	12/10/2008

<i>SERFF Tracking Number:</i>	<i>ZURC-125934366</i>	<i>State:</i>	<i>Arkansas</i>
<i>First Filing Company:</i>	<i>American Zurich Insurance Company, ...</i>	<i>State Tracking Number:</i>	<i>EFT \$50</i>
<i>Company Tracking Number:</i>	<i>CW ML 28033</i>		
<i>TOI:</i>	<i>01.0 Property</i>	<i>Sub-TOI:</i>	<i>01.0001 Commercial Property (Fire and Allied Lines)</i>
<i>Product Name:</i>	<i>Property Basket Coverage Endorsement, Supplemental Schedule, Rules and Rating Plan</i>		
<i>Project Name/Number:</i>	<i>/CW ML 28033</i>		

Disposition

Disposition Date: 12/10/2008
Effective Date (New): 04/01/2009
Effective Date (Renewal): 04/01/2009
Status: Approved
Comment:

Rate data does NOT apply to filing.

Overall Rate Information for Multiple Company Filings

Overall Percentage Rate Indicated For This Filing	0.000%
Overall Percentage Rate Impact For This Filing	0.000%
Effect of Rate Filing-Written Premium Change For This Program	\$0
Effect of Rate Filing - Number of Policyholders Affected	0

SERFF Tracking Number: ZURC-125934366 State: Arkansas
 First Filing Company: American Zurich Insurance Company, ... State Tracking Number: EFT \$50
 Company Tracking Number: CW ML 28033
 TOI: 01.0 Property Sub-TOI: 01.0001 Commercial Property (Fire and Allied Lines)
 Product Name: Property Basket Coverage Endorsement, Supplemental Schedule, Rules and Rating Plan
 Project Name/Number: /CW ML 28033

Item Type	Item Name	Item Status	Public Access
Supporting Document	Uniform Transmittal Document-Property & Casualty	Approved	Yes
Supporting Document	MEMO	Approved	Yes
Form	Property Basket Coverage Endorsement Supplement Schedule - Plus	Approved	Yes
Form	Property Basket Coverage Endorsement Supplement Schedule - Basic	Approved	Yes

SERFF Tracking Number: ZURC-125934366 State: Arkansas

First Filing Company: American Zurich Insurance Company, ... State Tracking Number: EFT \$50

Company Tracking Number: CW ML 28033

TOI: 01.0 Property Sub-TOI: 01.0001 Commercial Property (Fire and Allied Lines)

Product Name: Property Basket Coverage Endorsement, Supplemental Schedule, Rules and Rating Plan

Project Name/Number: /CW ML 28033

Form Schedule

Review Status	Form Name	Form #	Edition Date	Form Type Action	Action Specific Data	Readability	Attachment
Approved	Property Basket Coverage Endorsement Supplement Schedule - Plus	U-GU-D-640-A CW	10 08	Endorsement/Amendment/Conditions		0.00	U-GU-D-640-A CW Plus.pdf
Approved	Property Basket Coverage Endorsement Supplement Schedule - Basic	U-GU-D-635-B CW	10 08	Endorsement/Amendment/Conditions	Replaced Form #: U-GU-D-635-A CW (04 05) Previous Filing #: AR-PC-05-015380	0.00	U-GU-D-635-B CW Basic.pdf

Property Basket Coverage Endorsement

Supplement Schedule - Plus



This Schedule is used in conjunction with the Commercial Property Coverage Part Declarations when the Commercial Property Coverage Part includes the Property Basket Coverage Endorsement. This Schedule supplements the Commercial Property Coverage Part Declarations.

Additional Coverages and Coverage Extensions		Limits of Insurance	
Accounts Receivable:			
	On Described Premises	\$ 100,000	Each Described Premises, Per Occurrence
	Off Described Premises	\$ 5,000	Not At Described Premises, Per Occurrence
Automatic Increase in Insurance:			
	Building		2%
	Business Personal Property		4%
Brands And Labels		\$ 25,000	Per Occurrence
Business Income From Dependent Properties		\$ 100,000	Per Occurrence
Computer Fraud		\$ 25,000	Per Occurrence
Contractual Penalties		\$ 25,000	Annual Aggregate
Damage To Building From Theft		\$ 5,000	Per Occurrence
Debris Removal:			
	Covered Property	\$ 25,000	Each Described Premises, Per Occurrence
	Other Than Covered Property	\$ 25,000	Per Occurrence
Electronic Data		\$ 50,000	Annual Aggregate
Employee Dishonesty		\$ 25,000	Per Occurrence
False Pretense		\$ 1,500	Per Occurrence
Fine Arts		\$ 25,000	Each Described Premises
Fire Department Service Charge		\$ 25,000	Per Occurrence
Fire Extinguisher Systems Expense		\$ 10,000	Per Occurrence
Forgery Or Alteration		\$ 25,000	Per Occurrence
Foundations And Underground Pipes		\$ 100,000	Per Occurrence
Interruption Of Computer Operations		\$ 25,000	Annual Aggregate
Inventory And Appraisal Expense		\$ 10,000	Per Occurrence
Leasehold Interest – Undamaged Improvements And Betterments		\$ 50,000	Per Occurrence
Mobile Equipment		\$ 25,000	Per Occurrence
Money And Securities:			
	On Described Premises	\$ 10,000	Per Occurrence
	Off Described Premises	\$ 5,000	Per Occurrence
Money Orders And Counterfeit Paper Currency		\$ 1,500	Per Occurrence
Newly Acquired Or Constructed Property:			
	Buildings	\$ 1,000,000	Each Building
	Business Personal Property	\$ 500,000	Each Building
	Fine Arts	\$ 10,000	Per Occurrence
Ordinance Or Law:			
	Machinery and Equipment	\$	Included in Property Limit
	Demolition Cost	\$ 250,000	Per Occurrence
	Increased Cost Of Construction	\$ 250,000	Per Occurrence
	Loss to the Undamaged Portion of the Building	\$ 250,000	Per Occurrence

Additional Coverages and Coverage Extensions	Limits of Insurance	
Outdoor Property:		
Fences/Retaining Walls	\$ 10,000	Per Occurrence
Antennas	\$ 10,000	Per Occurrence
Outdoor Signs	\$ 5,000	Per Occurrence
Personal Effects	\$ 10,000	Each Described Premises
Pollutant Clean Up And Removal	\$ 25,000	Annual Aggregate at Each Described Premises
Property In Transit	\$ 50,000	Per Occurrence
Property Off-Premises	\$ 100,000	Per Occurrence
Reward Payment:		
Information	\$ 10,000	Per Person, Per Occurrence
Stolen Covered Property	\$ 10,000	Per Person, Per Occurrence
Transit Business Income	Included in Business Income Limit	
Trees, Shrubs And Plants:	\$ 2,500	Any One Tree, Shrub or Plant
	\$ 25,000	Per Occurrence
Underground Water And Backup Of Sewer And Drain	\$ 25,000	Per Occurrence
Utility Services – Direct Damage	\$ 25,000	Per Occurrence
Utility Services – Time Element	\$ 25,000	Per Occurrence
Valuable Papers And Records (Other Than Electronic Data)	\$ 150,000	Each Described Premises
Valuable Papers And Records (Other Than Electronic Data) – Off Premises	\$ 25,000	Per Occurrence

Property Basket Coverage Endorsement

Supplement Schedule - Basic



This Schedule is used in conjunction with the Commercial Property Coverage Part Declarations when the Commercial Property Coverage Part includes the Property Basket Coverage Endorsement. This Schedule supplements the Commercial Property Coverage Part Declarations.

Additional Coverages and Coverage Extensions		Limits of Insurance	
Accounts Receivable:			
	On Described Premises	\$ 100,000	Each Described Premises, Per Occurrence
	Off Described Premises	\$ 5,000	Not At Described Premises, Per Occurrence
Automatic Increase in Insurance:			
	Building		2%
	Business Personal Property		4%
Brands And Labels		\$ 25,000	Per Occurrence
Business Income From Dependent Properties		\$ 25,000	Per Occurrence
Computer Fraud		\$ 25,000	Per Occurrence
Contractual Penalties		\$ 25,000	Annual Aggregate
Damage To Building From Theft		\$ 5,000	Per Occurrence
Debris Removal:			
	Covered Property	\$ 25,000	Each Described Premises, Per Occurrence
	Other Than Covered Property	\$ 25,000	Per Occurrence
Electronic Data		\$ 25,000	Annual Aggregate
Employee Dishonesty		\$ 25,000	Per Occurrence
False Pretense		\$ 1,500	Per Occurrence
Fine Arts		\$ 25,000	Each Described Premises
Fire Department Service Charge		\$ 10,000	Per Occurrence
Fire Extinguisher Systems Expense		\$ 10,000	Per Occurrence
Forgery Or Alteration		\$ 25,000	Per Occurrence
Foundations And Underground Pipes		\$ 100,000	Per Occurrence
Interruption Of Computer Operations		\$ 25,000	Annual Aggregate
Inventory And Appraisal Expense		\$ 10,000	Per Occurrence
Leasehold Interest – Undamaged Improvements And Betterments		\$ 50,000	Per Occurrence
Mobile Equipment		\$ 25,000	Per Occurrence
Money And Securities:			
	On Described Premises	\$ 10,000	Per Occurrence
	Off Described Premises	\$ 5,000	Per Occurrence
Money Orders And Counterfeit Paper Currency		\$ 1,500	Per Occurrence
Newly Acquired Or Constructed Property:			
	Buildings	\$ 1,000,000	Each Building
	Business Personal Property	\$ 500,000	Each Building
	Fine Arts	\$ 10,000	Per Occurrence
Ordinance Or Law:			
	Machinery and Equipment	\$	Included in Property Limit
	Demolition Cost	\$ 100,000	Per Occurrence
	Increased Cost Of Construction	\$ 100,000	Per Occurrence
	Loss to the Undamaged Portion of the Building	\$ 100,000	Per Occurrence
Outdoor Property:			
	Fences/Retaining Walls	\$ 10,000	Per Occurrence
	Antennas	\$ 10,000	Per Occurrence
	Outdoor Signs	\$ 5,000	Per Occurrence

Additional Coverages and Coverage Extensions	Limits of Insurance	
Personal Effects	\$ 10,000	Each Described Premises
Pollutant Clean Up And Removal	\$ 25,000	Annual Aggregate at Each Described Premises
Property In Transit	\$ 25,000	Per Occurrence
Property Off-Premises	\$ 25,000	Per Occurrence
Reward Payment:		
Information	\$ 10,000	Per Person, Per Occurrence
Stolen Covered Property	\$ 10,000	Per Person, Per Occurrence
Transit Business Income	\$	Included in Business Income Limit
Trees, Shrubs And Plants:	\$ 2,500	Any One Tree, Shrub or Plant
	\$ 25,000	Per Occurrence
Underground Water And Backup Of Sewer And Drain	\$ 25,000	Per Occurrence
Utility Services – Direct Damage	\$ 10,000	Per Occurrence
Utility Services – Time Element	\$ 10,000	Per Occurrence
Valuable Papers And Records (Other Than Electronic Data)	\$ 100,000	Each Described Premises
Valuable Papers And Records (Other Than Electronic Data) – Off Premises	\$ 5,000	Per Occurrence

<i>SERFF Tracking Number:</i>	<i>ZURC-125934366</i>	<i>State:</i>	<i>Arkansas</i>
<i>First Filing Company:</i>	<i>American Zurich Insurance Company, ...</i>	<i>State Tracking Number:</i>	<i>EFT \$50</i>
<i>Company Tracking Number:</i>	<i>CW ML 28033</i>		
<i>TOI:</i>	<i>01.0 Property</i>	<i>Sub-TOI:</i>	<i>01.0001 Commercial Property (Fire and Allied Lines)</i>
<i>Product Name:</i>	<i>Property Basket Coverage Endorsement, Supplemental Schedule, Rules and Rating Plan</i>		
<i>Project Name/Number:</i>	<i>/CW ML 28033</i>		

Rate Information

Rate data does NOT apply to filing.

SERFF Tracking Number: ZURC-125934366 State: Arkansas
First Filing Company: American Zurich Insurance Company, ... State Tracking Number: EFT \$50
Company Tracking Number: CW ML 28033
TOI: 01.0 Property Sub-TOI: 01.0001 Commercial Property (Fire and Allied Lines)
Product Name: Property Basket Coverage Endorsement, Supplemental Schedule, Rules and Rating Plan
Project Name/Number: /CW ML 28033

Supporting Document Schedules

Satisfied -Name: Uniform Transmittal Document-Property & Casualty **Review Status:** Approved 12/10/2008

Comments:

Attachment:

AR Uniform Transmittal Final.pdf

Satisfied -Name: MEMO **Review Status:** Approved 12/10/2008

Comments:

Attachment:

Form Explanatory Memorandum.pdf

Property & Casualty Transmittal Document

1. Reserved for Insurance Dept. Use Only	2. Insurance Department Use only a. Date the filing is received: b. Analyst: c. Disposition: d. Date of disposition of the filing: e. Effective date of filing: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;">New Business</div> <div style="width: 55%;"></div> </div> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;">Renewal Business</div> <div style="width: 55%;"></div> </div> f. State Filing #: g. SERFF Filing #: h. Subject Codes
---	---


3.	Group Name	Group NAIC #
	Zurich North America	212-

4.	Company Name(s)	Domicile	NAIC #	FEIN #	State #
	American Zurich Insurance Company	Illinois	212-40142	36-3141762	
	American Guarantee & Liability Insurance Company	New York	212-26247	36-6071400	
	Zurich American Insurance Company of Illinois	Illinois	212-19356	36-2781080	
	Zurich American Insurance Company	New York	212-16535	36-4233459	
	Fidelity & Deposit Company of Maryland	Maryland	212-39306	13-3046577	

5. Company Tracking Number	CW ML 28033
-----------------------------------	--------------------

Contact Info of Filer(s) or Corporate Officer(s) [include toll-free number]

6.	Name and address	Title	Telephone #s	FAX #	e-mail
	Shierra Avila	Filing Analyst	847-706-2956	866-556-7558	shierra.l.avila@zurichna.com

7.	Signature of authorized filer	
8.	Please print name of authorized filer	Shierra Avila

Filing information (see General Instructions for descriptions of these fields)

9.	Type of Insurance (TOI)	Commercial Fire/Property
10.	Sub-Type of Insurance (Sub-TOI)	Commercial Property (Fire and Allied Lines)
11.	State Specific Product code(s)(if applicable)[See State Specific Requirements]	
12.	Company Program Title (Marketing title)	
13.	Filing Type	<input type="checkbox"/> Rate/Loss Cost <input type="checkbox"/> Rules <input type="checkbox"/> Rates/Rules <input checked="" type="checkbox"/> X Forms <input type="checkbox"/> Combination Rates/Rules/Forms <input type="checkbox"/> Withdrawal <input type="checkbox"/> Other (give description)
14.	Effective Date(s) Requested	New: 04/01/2009 Renewal: 04/01/2009

Property & Casualty Transmittal Document---

15.	Reference Filing?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
16.	Reference Organization (if applicable)	N/A
17.	Reference Organization # & Title	N/A
18.	Company's Date of Filing	12/09/2008
19.	Status of filing in domicile	<input type="checkbox"/> Not Filed <input checked="" type="checkbox"/> Pending <input type="checkbox"/> Authorized <input type="checkbox"/> Disapproved

20.	This filing transmittal is part of Company Tracking #	CW ML 28033
------------	--	-------------

21.	Filing Description [This area can be used in lieu of a cover letter or filing memorandum and is free-form text]
------------	--

This new program contains two schedules, the Basic Limit Option and the Plus Limit Option.

The Basic Limit Option replaces the old Commercial Property Basket Filing. The Basic Limit Option will remove the maximum premium constraint. The Basic Limit Option will have no impact on current insureds as we no longer have policies with the endorsement.

The Plus Limit Option is a new coverage option that contains similar coverages as the Basic Limit Option but it has higher limits for select coverages.

This product was created to provide two options for coverages that are commonly elected by commercial insureds with property risks. The coverage endorsement attaches to the ISO Building and Personal Property Coverage form, Causes of Loss Special Form and Business Income and Extra Expense Coverage form (if BI&EE is purchased).

22.	Filing Fees (Filer must provide check # and fee amount if applicable) [If a state requires you to show how you calculated your filing fees, place that calculation below]
<div style="margin-bottom: 20px;"> Check #: Amount: </div> <div> Refer to each state's checklist for additional state specific requirements or instructions on calculating fees. </div>	

*****Refer to each state's checklist for additional state specific requirements (i.e. # of additional copies required, other state specific forms, etc.)**

These pages are informational only and do not need to be submitted with your filings!

Notes for Uniform Property & Casualty Transmittal Document

DESCRIPTION OF ITEMS IN THE PROPERTY AND CASUALTY TRANSMITTAL DOCUMENT

- 1. Reserved for Insurance Dept. Use Only**—this section is for anything the Dept. wishes to capture—such as date stamps, approval stamps, check routing numbers, accounting codes, etc.
- 2. Insurance Department Use Only Box:** Includes the following information: (It is up to the state to determine which, if any, of this info they wish to record—or it may be recorded in #1 box with stamps (for example))
 - a. Date the filing is received by the Insurance Dept.**
 - b. Analyst**—lead analyst who reviewed the filing and assigns final disposition
 - c. Disposition**—this is the disposition that the Dept. assigns—authorized, approved, filed, withdrawn, disapproved, informational only, etc.
 - d. Date of Disposition of the filing**—date filing is finished
 - e. Effective Date of the Filing**—date the filing goes into effect. This date may vary by state—it might be the “approval” date in some states. It might be the implementation date in some states. It might be the received date in some states. The Dept. should use the date that is applicable in their state.
 - f. State Filing #:** The number the state assigns to the filing (if applicable).
 - g. SERFF Filing #:** Some states may use SERFF to track paper filings and will use that SERFF assigned number.
 - h. Subject Codes** – This field is intended to capture one or more Subject Codes for states to track particular attributes of a filing, such as mold exclusions. The codes or terms used would be variable by state.
- 3. Group Name and Group NAIC #** as assigned by NAIC.
- 4. Company Name(s), State of Domicile, NAIC #, FEIN#, State #:** Every company to which this filing applies must be listed and the company information must be supplied, with the exception of the State # (the company specific code) if not available or not required by the filing jurisdiction. A filing that lists a group without supplying company info will not be accepted in most states.
- 5. Company Tracking Number:** The filing number assigned by the insurance company, if any.
- 6. Contact Info of Filer or Corporate Officer:** The company should supply the information on the person the state should contact if there is a question/problem with the filing. If there is more than one person (perhaps, one for rates, one for forms) then both should be listed.
- 7. Signature of authorized filer:** Some states require a signature of the authorized filer. If the filer is third party, a letter of authorization from the insurer must be submitted according to state requirements.
- 8. Please print name of authorized filer:** So we can decipher #7 above!
- 9. Type of Insurance (TOI):** Refer to Uniform Property & Casualty Product Coding Matrix. This corresponds to the column entitled “SERFF Type of Insurance” and roughly corresponds to the annual statement line of business.
- 10. Sub-type of Insurance (Sub-TOI):** Refer to Uniform Property & Casualty Product Coding Matrix). This corresponds to the column entitled “SERFF Sub-Type of Insurance”.
- 11. State Specific Product code(s):** See State Specific Requirements for these codes
- 12. Company Program Title:** Marketing title, if applicable.
- 13. Filing Type:** Choices are Rate/Loss Cost; Rules; Rates/Rules; Forms; Withdrawal; Other.

14. Effective Date Requested: This is the effective date the company requested when they made the filing. It is not necessarily the date the filing officially becomes effective. This is also where the company can indicate the different effective dates for new or renewal business.

15. Reference Filing: Yes/No

16. Reference Organization (if applicable): The name of the advisory organization—i.e. ISO, NCCI, AAIS, etc. or an Insurance Company name if “me too filing” is permitted. Some states allow companies to reference another company’s filing. A “me too” filing is when one company adopts another company’s filing. Usually they are not part of the same group. You should check with each state to determine their rules on these filings. If permitted, use this area to indicate either an advisory organization name or “me too” company name.

17. Reference Organization Number & Title (if applicable): This is the unique number that the reference organization gives to the filing. It is generally not the same number as the circular number.

18. Company’s Date of filing: The date the company sends the filing.

19. Status of filing in domicile: Place for the company to show if filing has been filed in domicile and its status.

20. This filing transmittal is part of Company Tracking #: This ties all of the pages of the transmittal to the same filing. It is helpful for the state.

21. Filing Description: This area can be used in lieu of a cover letter or filing memorandum and is free-form text.

22. Filing Fees: Please refer to each state’s checklist for additional state specific requirements or instructions on calculating fees.

16. Form Filing Attachment

This filing transmittal is part of company tracking number	
This filing corresponds to rate filing company tracking number	

	Document Name	Form Number		Replaced Form Number
	Description			Previous State Filing Number
# 1	Property Basket Coverage Endorsement Supplement Schedule - Plus	U-GU-D-640-A CW (10/08)	<input checked="" type="checkbox"/> Initial <input type="checkbox"/> Revised <input type="checkbox"/> Other _____	
# 2	Property Basket Coverage Endorsement Supplement Schedule - Basic	U-GU-D-635-B CW (10/08)	<input type="checkbox"/> Initial <input type="checkbox"/> Revised <input checked="" type="checkbox"/> Other <u>Replacement</u>	U-GU-D-635-A CW (04/05) AR-PC-05-015381
#			<input type="checkbox"/> Initial <input type="checkbox"/> Revised <input type="checkbox"/> Other _____	
#			<input type="checkbox"/> Initial <input type="checkbox"/> Revised <input type="checkbox"/> Other _____	
#			<input type="checkbox"/> Initial <input type="checkbox"/> Revised <input type="checkbox"/> Other _____	
#			<input type="checkbox"/> Initial <input type="checkbox"/> Revised <input type="checkbox"/> Other _____	
#			<input type="checkbox"/> Initial <input type="checkbox"/> Revised <input type="checkbox"/> Other _____	
#			<input type="checkbox"/> Initial <input type="checkbox"/> Revised <input type="checkbox"/> Other _____	

We are submitting revised U-GU-D-635-B CW 1008 Property Basket Coverage Endorsement Supplemental Schedule – Basic and new U-GU-D-640-A CW 1008 Property Basket Coverage Endorsement Supplemental Schedule – Plus.

The previous A version of U-GU-D-635 was filed with the ability to purchase higher limits for certain coverages. We have removed that ability. Now, the applicant will choose between two coverages – the Basic or Plus options.

The Plus option contains all of the coverages in the Basic with higher limits for:

- Business Income from Dependent Properties
- Electronic Data
- Fire Department Service Charge
- Ordinance or Law Coverages
- Property In Transit
- Property Off-Premises
- Valuable Papers And Records (Other Than Electronic Data)
- Valuable Papers And Records (Other Than Electronic Data) – Off Premises

Upon approval, the previous U-GU-D-635-A CW 0405 Property Basket Coverage Endorsement Supplemental Schedule will be replaced.